


Please type a plus sign (+) inside this box → 

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	ISM/005CNT1
First Inventor	A. SHENNIB
Title	Semi-Permanent Canal Hearing Device
Express Mail Label No.	ER 313 547 751 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing) *but no fee*
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **67**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **10**]
5. Oath or Declaration [Total Pages **1**]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: *Express Mail Certificate; Amended Sheet of Drawing Changes*

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information:

Examiner Dionne Harvey

of prior application No.: 09,199,669

Group Art Unit: 2643

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	DONALD R. GREENE				
Address	P. O. Box 12995				
City	SCOTTSDALE	State	AZ	Zip Code	85267
Country		Telephone		Fax	

Name (Print/Type)	DONALD R. GREENE	Registration No. (Attorney/Agent)	22470
Signature		Date	10/25/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

17497 U.S. PTO
10/693628
102503

17175 U.S. PTO
10/25/03

EXPRESS MAIL LABEL NO. ER 313 547 751 US
ATTORNEY DOCKET NO. ISM/005CNT1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ADNAN SHENNIB, ET AL.

Serial No.: (to be designated)
(continuation of Ser. No. 09/199,669,
filed 11/25/1998)

Filed: Herewith

Group Art Unit: 2643

Examiner: Dionne Harvey

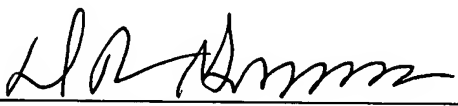
Title: SEMI-PERMANENT CANAL HEARING DEVICE

APPLICATION TRANSMITTAL AND FEE CALCULATION LETTER

1. This application for a utility patent is a continuation of Ser. No. 09/199,669, for which applicant claims the benefit of priority, and contains 67 pages of specification and claims, a 1 page abstract, plus cover sheet and 10 sheets (17 Figs) of formal drawing; plus a 12-page Preliminary Amendment and Annotated Sheet Showing Drawing Changes.
2. Also enclosed herewith is completed form PTO/SB/05. A copy of the executed Combined Declaration and Power of Attorney from parent Ser. No. 09/199,669 is also filed herewith.
3. Applicant claims small entity status. The filing fee is calculated, accounting for cancellation of claims by the Preliminary Amendment, as follows:

	Claims After Amdmt.		Highest No. Prev. Pd. For		Extra	Present Rate (Small Entity)	Base Fee \$385.00
Total	24	minus	20	=	4	x \$9 =	36.00
Indep.	2	minus	3	=	0	x \$43 =	0.00
TOTAL							<u>\$421.00</u>

4. No fees are being paid at this time.


Donald R. Greene
Reg. No. 22470
Post Office Box 12995
Scottsdale, AZ 85267-2995

Dated: 10/23/2003
Enclosures (as indicated)

DRG/ISM/PTO/M10233.005CNT1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :
: :
ADNAN SHENNIB, ET AL. :
: :
Serial No.: (to be designated) : Group Art Unit: 2643
: :
(continuation of Ser. No. 09/199,669, : Examiner: Dionne Harvey
: :
filed 11/25/1998) :
: :
Filed: Herewith :
: :
Title: SEMI-PERMANENT CANAL HEARING DEVICE

EXPRESS MAIL CERTIFICATE

Express Mail Label No. **ER 313 547 751 US**

Date of Deposit: October 25, 2003

I certify that the following attached papers:

- (1) Specification consisting of 67-page specification and claims (claims amended by Preliminary Amendment) and 1-page Abstract, plus cover sheet and 10 sheets (17 Figs.) of formal drawing; and copy of Combined Declaration and Power of Attorney as filed in parent application Ser. No. 09/199,669.
- (2) 12-page Preliminary Amendment that results in a total of 24 claims (2 of which are independent) filed as part of this application, w/Annotated Sheet Showing Drawing Changes;
- (3) Application Transmittal and Fee Calculation Letter, and PTO Form SB/05; and
- (4) Postcard (stamped, addressed) for acknowledging receipt, and return to applicant.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.



Donald R. Greene
P.O. Box 12995
Scottsdale, AZ 85267-2995
Tel: (480) 488-9895
Fax: (480) 488-5654

Date: 10/25/2003